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PAGE 1 OF 2



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

EVANS et al.

Serial No.: 09/939,239

Filed: August 24, 2001

For: **METHOD FOR CENTRAL
VENOUS LINE CATHETER HAVING A
TEMPERATURE CONTROL SYSTEM**

)
) Group Art Unit: Not Yet Assigned

)
) Examiner: Not Yet Assigned

TRANSMITTAL FORM

Box Assignment
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed please find the following:

- ☒ Assignment of Rights, Title and Interest in Invention (Multiple inventors; single assignee)
- ☒ Recordation Form Cover Sheet Patents Only [Form PTO-1595]
- ☒ Declaration and Power of Attorney For Patent Application [Form PTO-SB-01]
- ☒ Return Postcard

The Commissioner is hereby authorized to charge any additional fees required and credit any overpayment of fees to Deposit Account No. 501167.
A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Dated: 21 September 2001

By: Arlyn L. Alonzo
Arlyn L. Alonzo
Reg. No. 44,502

ALSIUS CORPORATION
15770 Laguna Canyon Road, Suite 150
Irvine, CA 92618
(949) 453-0150 ext 146

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this document, together with any papers described as attached or enclosed, is being deposited with the United States Postal Service, "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date shown below and is addressed to Box Assignment, United States Patent & Trademark Office, Assistant Commissioner for Patents, Washington, D.C. 20231.

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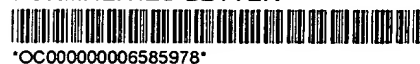
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/939,239	08/24/2001	Blair D. Walker	001/017 (1-3) USA

CONFIRMATION NO. 3291

FORMALITIES LETTER



ARLYN L. ALONZO
Alsius Corporation
Sr. Intellectual Property Coun.
15770 Laguna Canyon Rd., Suite 150
Irvine, CA 92618

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Date Mailed: 09/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.


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PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/01/2002 JADD01 00000068 501167 09939239
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Sector #



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Examiner: Not Yet Assigned

Serial No.: 09/939,239

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Sir:

—

In the event that there are any questions concerning this Response, or the application in general, the Examiner is respectfully urged to telephone the undersigned.

The Commission is hereby authorized to charge and credit Deposit Account No. 501167 as described below. A duplicate copy of this sheet is enclosed.

- ☒ Charge the amount of \$65.00 as filing fee.
☒ Credit any overpayment.
☒ Charge any additional filing fees under 37 C.F.R. 1.16 and 1.17.

Respectfully submitted,

Dated: 25 October 2001

By: *Arlyn L. Alonzo*
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Name of Person Depositing Paper

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